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CONFIRMATION NO. 9308

SERIAL NUMBER 10/071,053	FILING or 371(c) DATE 02/08/2002 RULE	CLASS 705	GROUP ART UNIT 3694	ATTORNEY DOCKET NO. 049212-0104	
APPLICANTS Bruce Bent, Plandome, NY; Bruce Bent II, New York, NY; ** CONTINUING DATA ***** This application is a CIP of 09/176,340 10/21/1998 PAT 6,374,231 and is a CIP of 09/677,535 10/02/2000 ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 04/04/2002					
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input type="checkbox"/> No Verified and /MARY DA ZHI WANG Acknowledged CHEUNG/ Examiner's Signature	<input type="checkbox"/> Met after Allowance Initials	STATE OR COUNTRY NY	SHEETS DRAWINGS 6	TOTAL CLAIMS 20	INDEPENDENT CLAIMS 3
ADDRESS FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007 UNITED STATES					
TITLE Systems and methods for administering return sweep accounts					
FILING FEE RECEIVED 2240	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		